
Annual Report 2010

Basel Institute on Governance



The year 2010 was another eventful year for the Basel Institute on Governance (hereafter referred to as “the Institute”). The Institute acquired a number of new projects, in particular in the area of capacity building for asset recovery and in the area of industry standard setting. This included a five-year contract through Siemens Integrity Initiative to initiate and implement collective action projects.

Structure and Organization

Management

The executive management of the Basel Institute on Governance was led by Daniel Thelesklaf, Executive Director, and Dr Juanita Olaya, Director, whereby each director was responsible for the operations of one of the two divisions of the Institute, namely Juanita Olaya for Public and Private Governance, and Daniel Thelesklaf for the International Centre for Asset Recovery (ICAR), and the Anti-Money Laundering/Countering Terrorist Financing (AML/CTF) Division. In December 2010, Damian Heller was appointed Director of the Institute. He is responsible for the Corporate Governance and Compliance Division. Dr Juanita Olaya will leave the Institute as of 31 March 2011.

The Divisions of the Institute are supported logistically and administratively by the Resources and Operational Support Division of the Institute.

The Board

Dr Marco Balmelli

Dr Hans-Peter Bauer

Dr Thomas Christ

Prof Dr Till Förster

Prof Dr Lukas Handschin

Prof Dr Anne Peters (Vice-President)

Prof Dr Mark Pieth (President)

Prof Dr Anton Schnyder

Team

With a rise in activities, the ICAR recruited two additional staff:

Federico Paesano from Italy joined the ICAR as an Asset Recovery Specialist in September 2010. Mark van Thiel from Switzerland joined the Institute as a Senior Financial Intelligence Unit Expert in October 2010.

On 1 June 2010, Phyllis Atkinson was promoted to the new Head of Training of the ICAR.

The Institute continued to expand its local and international network of peers and practitioners and regularly involved external experts in projects of the Institute, in particular in the areas of private sector governance and AML/CFT.

In 2010, there was a notable increase in demand from young professionals for internship opportunities at the Institute. The Institute welcomed this positive development as a sign of greater visibility of the Institute, both locally and internationally, and as a result engaged a number of temporary interns during 2010. This group of interns included the following young professionals: Andrew Dornbierer, Stefanie Hochuli and Friederike Schattka.

In the course of 2010, Keila Häusler, Mirella Mahlstein and Claudio Künzler left the Institute. The Board wishes them all the best for the continuation of their careers. Tom Lasich has retired and will continue to work for the ICAR as a Consultant.

Public and Private Sector Governance

Public Sector Governance

An agreement signed with UNDP (Bangkok) in December 2009 included the development of a Self-Assessment Guidance Note and support for UNCAC self-assessments in three Asian countries (Laos, Bhutan and Mongolia). By the end of 2010 the Guidance Note and the assessment for Bhutan had been completed. Assessments in Laos and Mongolia will be finalised in 2011.

In early 2010, the Public Sector Governance unit supported the Swiss State Secretariat for Economic Affairs (SECO) in developing training materials for internal anti-corruption trainings.

The Institute concluded a framework agreement with the World Bank to conduct governance work in the MENA-region. This work started in November 2010 with a focus on legal and judicial reform in Morocco. So far, an evaluation of the legal and judicial system in Morocco has been conducted. Also, the Institute supported the development of a reform project geared towards improving the quality of service delivery of 15 first instance tribunals and reforms of human resource and financial management in the justice sector. The collaboration will continue into 2011 and will include work in other countries of the region.

A collaboration agreement was signed with TI-Americas to undertake research on the topic of social damage arising from corruption cases and the possibilities of reparation. This work was presented at the 14th International Anti-Corruption Conference in Bangkok in November 2010 during a special workshop dedicated to the particular topic.

The Public Sector Governance unit provided comments to an impact assessment study for strengthening the fight against corruption at the EU level, with particular focus on periodical evaluation.

In April 2010, staff of the Institute prepared presentations on judicial integrity for a training of UNDP staff and local officials in the Democratic Republic of the Congo (DRC) in the area of legal and judicial reform.

Research

The Institute's interdisciplinary research project on the topic of "Governance of Health Systems" which is being carried out in cooperation with the Swiss Tropical and Public Health Institute (STPHI), and is funded by the Swiss National Science Foundation (SNF), entered into its second year. The research team continued to develop the



analytical framework to assess the governance of health systems, which is the first of the objectives outlined in the original research proposal submitted to SNF. The conceptual work towards this end was completed during the year 2010. The research team also undertook preparatory work and research work to implement the framework in Tanzania and Tajikistan. Complementary funding for the fieldwork has been partially secured with the Swiss Agency for Development and Cooperation (SDC) and the World Health Organisation (WHO), and research partnerships with local counterparts were agreed upon. As a result of the conceptual work in developing an analytical framework to assess governance of health systems, the research team produced five papers which, by the end of 2010, were either under review by an academic journal or being discussed internally by the team to be submitted for publication in 2011.

Private Sector Governance

In July 2010, at a meeting with Board members, a new strategy for advisory work in the private sector was discussed and developed.

The Institute provided advice to a large European bank to redefine its compliance system. This mandate commenced in 2008 and continued through 2010. It consisted in elaborating anti-corruption and anti-money laundering policies and guidelines as well as in providing specific recommendations on how to best organise and structure the compliance system both on a group level and on a subsidiary level.

The Institute was appointed as an ethics advisor and in this context reviewed the compliance programme of a leading multinational services group which specialises in the area of industry, real estate and infrastructure. This mandate was acquired in early 2010 and will continue in 2011, with a focus on implementing the Institute's recommendations.

At the end of the year, the Institute evaluated the compliance system of yet another European bank.

Industry Standards

The Institute participated in a number of task force meetings and board delegate meetings of the World Economic Forum (WEF) Partnering Against Corruption Initiative (June 2010, Vietnam; December 2010, New York, USA). Together with the WEF, the Institute continued to be involved in co-leading the process of further elaborating industry standards for the logistics and transport industry.

In the art trade sector the Institute organised two round tables involving key international stakeholders of the art trade industry to contribute to the policy dialogue for industry standards in this area.

Furthermore the Institute continued to work with the Wolfsberg Group and supported collective action initiatives in the oil and gas sector.

Finally, the Institute successfully participated in a tender process of Siemens in 2010 and was awarded a five-year contract to initiate and implement collective action projects. Siemens officially launched the project in December 2010. A project planning period commences in January 2011 through March 2011. Thereafter the Institute will start implementing its proposed project activities.

Anti-Money Laundering/Countering Terrorist Financing (AML/CTF)

The Institute's AML/CTF experts implemented two main AML/CTF projects in Armenia (a compliance certification programme funded by the European Bank for Reconstruction and Development) and in Kyrgyzstan (in the framework of a technical assistance programme funded by the International Monetary Fund).

A major AML/CTF project was carried out in Tanzania for the Ministry of Finance of Tanzania to draft regulatory guidelines as well as to develop and provide an AML/CTF training programme for relevant public authorities of Tanzania.

SECO commissioned the Institute to conduct AML/CTF desktop studies in seven priority countries of SECO (Indonesia, Vietnam, Egypt, Ghana, South Africa, Columbia and Peru). These studies analyse the current AML/CTF structures, rules and regulations of those countries and identify potential areas for technical assistance.

GTZ requested the Institute to carry out a review and analysis of Kosovo's draft law to combat money laundering and terrorist financing as well as to provide recommendations how to enhance the draft law.

In late 2010, the AML/CTF team developed and carried out a training programme in transaction reporting methodologies for AML/CTF for the Azerbaijani Financial Intelligence Unit. The project was funded by the OSCE.

In reaction to a FATF report on football and money laundering, the Institute initiated steps to mobilise relevant stakeholders to meet and discuss the issue of combating money-laundering risks in the football business.

International Centre for Asset Recovery (ICAR)

Trainings & Capacity Building

The ICAR developed and carried out a total of eight interactive and participant-based asset recovery / financial investigations training programmes in Africa, Asia and Eastern Europe, to audiences representing 17 countries, by a team of experts composed of experienced prosecutors, mutual legal assistance experts and investigators:



Training Georgia March 2010

Georgia In response to a request from the Ministry of Justice of Georgia, the ICAR developed and carried out an asset recovery/financial investigations training programme for authorities of Georgia in March 2010. The programme included a two-day IT training component, covering interactive training on the use of IT tools and databases in the corruption investigation and asset recovery process. It also served to introduce participants to the Asset Recovery

Network (AREN), an informal online communication network developed by the ICAR. Due to the success of the programme, a follow-up training programme will take place in 2011. In addition to this training programme, a cybercrime workshop was also conducted in March which focused on technical aspects and challenges relevant to cybercrime investigations, and an analysis of cybercrime offences.

Thailand Commissioned by the World Bank and UNODC's Stolen Asset Recovery Initiative (StAR), and based on the findings of a scoping mission carried out in 2009, the ICAR created and implemented an eight-day asset tracing/asset recovery training programme for investigators, prosecutors and representatives of the National Anti-Corruption Commission (NACC), Anti-Money Laundering Office (AMLO), Office of the Attorney General (OAG), Department of Special

Operations (DSI), Public Sector Anti-Corruption Commission (PACC) and the Bank of Thailand (BOT) in July 2010.

Indonesia Requested by UNODC (Indonesia) through a larger EU-funded anti-corruption framework programme, the ICAR conducted both a scoping/fact-finding mission and financial investigations and asset recovery training programme for law enforcement authorities of Indonesia (Commission for the Eradication of Corruption (KPK), Attorney General's Office (AGO), Supreme Court, Indonesian National Police (INP), and the Financial Transaction Reports and Analysis Centre (PPATK or Indonesia's FIU). The scoping exercise took place in January 2010 and two back-to-back six-day training programmes were carried out by the ICAR in October 2010.

Liberia Commissioned by the US State Department, the ICAR carried out a scoping mission to Liberia in January 2010 and based on the findings from the mission developed and carried out two financial investigations training courses in April and in June 2010. The trainees consisted of 18 police officers, prosecutors and staff from the Liberian Anti-Corruption Commission (LACC).



Training Liberia January 2010

Democratic Republic of Congo (DRC)

In response to a request from the Ministry of Justice of the DRC, the ICAR conducted a fact finding mission in early February 2010 and thereafter, based on its findings, developed and carried out a financial investigations and asset recovery training programme in December 2010. The participants included investigators, prosecutors and representatives of the CENAREF which is the Financial Intelligence Unit (FIU) of the DRC, the Observatory of the Code of Ethics of Public Officials (OCEP) and the National Police. The project was partially funded by the Swiss Agency for Development Cooperation (SDC).

Eastern European Regional Training (Siracusa, Italy) In close cooperation with the IMF and the ISISC, the ICAR delivered a one-week regional training programme on „Co-operation between Financial Intelligence Units and Law Enforcement Agencies in Fighting Money Laundering and Recovering Illicit Assets“ for law enforcement representatives (investigators, prosecutors and members of FIUs) of various law enforcement agencies and members of FIUs of Albania, Armenia, Azerbaijan, Belarus, Georgia, Kosovo, Macedonia, Moldova, Montenegro, Serbia, Turkmenistan, Uzbekistan and Ukraine.

Moldova As requested by the Commission to Combat Economic Crime and Corruption the Institute prepared and implemented a training seminar on “How to deal with politically exposed

persons” for financial institutions of Moldova in early December 2010. The seminar was co-funded by the Swiss Agency for Development and Cooperation (SDC).

Legal & Advisory Services

In 2010, the ICAR provided legal advisory services to countries that requested assistance in the development, review, analysis and enhancement of their asset recovery and other related anti-corruption policies and practices as well as with their institutional and legislative reforms related to asset recovery. Such assistance included facilitating the access to contacts in an international context and improving formal and informal channels of communication between requested and requesting states involved in an asset recovery process.

Maldives Under contract to the joint World Bank/UNODC Stolen Asset Recovery Initiative (StAR), the ICAR conducted a mission to the Maldives to advise the authorities of the Maldives on how to build a new central authority for dealing with asset recovery. This mission incorporated an assessment of the relevant existing laws and structures in the Maldives.

Uganda In close cooperation with and funding from the UK Department for International Development (DFID), the ICAR conducted an initial scoping mission to Uganda in April and in September to collect facts about corruption cases related to international development aid funds and the national and regional authorities of Uganda. Based on these collected facts, the ICAR made proposals how it could best provide legal advice and consultancy assistance to the Ugandan authorities for investigating some of these corruption cases. The project will continue in 2011.

Albania At the request of the Council of Europe, the ICAR carried out two legal review assignments: Under the first contract, it prepared a comparative analysis and technical paper on how the criminal code provisions on forfeiture and the new anti-mafia law provisions concerning civil forfeiture shall apply with regard to offences of money laundering and financing of terrorism. It also prepared a legal review on consistency of the current legal framework on search, seizure and confiscation covered by the anti-money laundering law, anti-mafia law and provisions of the criminal procedure code with the relevant international obligations. Under a second contract, the ICAR reviewed Albania’s current draft manual “on the investigation of economic crime” and provided a technical paper with suggestions for improvement of the document from the point of view of the human rights safeguards as enshrined in the Council of Europe.

Albania At the request of, and with funding from the Open Society Foundation, the ICAR provided legal consulting services related to the review, compliance check, implementation arrangements and recommendations on Albania’s law regarding “unjust enrichment (anti-mafia law).”

Serbia Commissioned by the Council of Europe, the ICAR carried out a project on criminal assets recovery in Serbia which included identifying twelve different European systems in managing

seized assets whose experience are relevant to the Serbian context; collected and compiled relevant data and information of the identified systems and produced a report with country profiles.

Kosovo Commissioned by the Council of Europe, the ICAR reviewed the current legal framework concerning the criminal law in Kosovo, its economic and organised crime legislation as well as its crime and corruption prevention legislation with reference to identifying the current operational system in Kosovo that could be supportive in introducing and implementing a new anti mafia law in line with international standards and the existing legal and institutional framework in Kosovo. The assignment involved a scoping mission to Kosovo in November 2010 to meet with the relevant players and institution representatives, as well as to moderate and lead a workshop discussion on the matter.

IT Tools and Services

IT Consultancy

The ICAR provided Information and Communication Technology (ICT) assistance to the Financial Intelligence Service of the Kyrgyz Republic to evaluate the security needs and provide appropriate recommendations. This mission was part of the activities of a large IMF AML/CTF project in the Kyrgyz Republic, funded by SECO.

In addition, the ICAR was commissioned by the Council of Europe to conduct an assessment of the work processes in two governmental asset recovery agencies in Serbia to provide technical specifications of software solutions to be tendered.

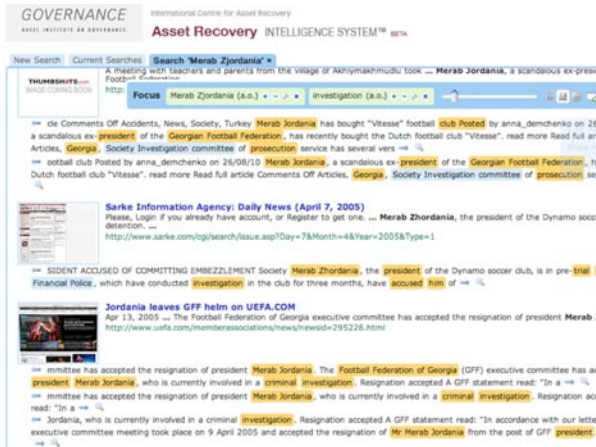
Asset Recovery Knowledge Centre

The ICAR followed current developments in the field of asset recovery, regularly updating and publishing relevant news articles and updates on laws, cases and other relevant current issues in asset recovery onto the Asset Recovery Knowledge Centre (www.assetrecovery.org).



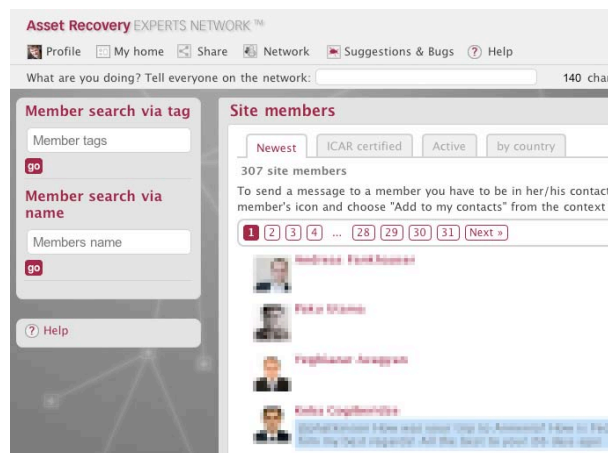
Asset Recovery Intelligence System (ARIS)

In collaboration with the Egmont Group of Financial Intelligence Units (FIU), the ICAR is developing a software tool to assist FIU analysts in screening persons and companies that appear in Suspicious Activity Reports. Based on the proof-of-concept, the ICAR developed a beta version of the Asset Recovery Intelligence System (ARIS) and successfully deployed it in a FIU, where it is currently being tested and where first experiences are being gathered. The beta version was presented at the Egmont Working Group Meetings in Moldova in October 2010, including a live demo with real names provided by participating FIUs. Several FIUs expressed their wish to participate in a testing phase. Part of the tool is an extensive taxonomy of red-flag terms that have been researched by the ICAR to identify suspicious activity in relation to asset recovery.



Asset Recovery Experts Network (AREN)

In 2010 the Asset Recovery Experts Network became an integral part of the ICAR activities. Providing social networks-like functionalities, AREN is an exclusive network connecting asset recovery practitioners. Participants of the ICAR training joined the network making AREN a valuable tool to maintain contacts with asset recovery practitioners in developing countries. AREN was also used to prepare and follow up



on ICAR events like the Non-State Actors Experts Meeting. At the end of 2010, over 300 members from 48 different countries had joined AREN, ranging from journalists, government officials to non-profit and university employees, thereby connecting members from a variety of relevant stakeholder groups pertaining to the asset recovery process.

E-learning tools

At the end of 2010 the IT team and the training team joined forces and developed the first prototype of an e-learning module. The "Excel training for investigators" covers the basic functionalities of Excel to be used to analyse financial transactions in asset recovery cases, which includes instructional presentations combined with hands-on exercises, forming an interactive learning experience. In 2011, the ICAR will explore further possibilities to include e-learning methods in the training activities to further enhance the effectiveness and efficiency of its trainings

Conferences and events

The Institute held two conferences in 2010:

1. Jointly with the Law Faculty of the University of Basel, the Institute held an international and interdisciplinary Conference on "Conflict of Interest" in Basel, Switzerland on 7-8 May 2010. The conference sought to explore the problem of conflict of interest in corporate, public and global governance from a legal, political scientific, economic and sociological perspective and from both a theoretical and practical angle. The objective was to develop an interdisciplinary and empirically grounded conceptual framework that links specific legal, social, political and economic tools to better understand how conflicts of interest can be defined, regulated, how they work in practice, at which level of governance they are most critical, and how they can be resolved. The Institute is following up with a book publication which will be published in 2011.

2. Jointly with the International Anti-Corruption Academy (IACC), and funded by the Swiss Agency for Development and Cooperation (SDC), the Institute organised an experts' meeting on "Non-State Actors in Asset Recovery", in Laxenburg, Austria, 1-3 September



2010. The aim of the workshop was to bring together different stakeholders involved in the asset recovery process to discuss, identify and clarify the roles and responsibilities of non-state actors in asset recovery.

Publications

Books and Book Contributions

Colin Nicholls QC/John Hatchard/Timothy Daniel/Alan Bacarese, *Corruption and Misuse of Public Office*, Oxford University Press, Oxford 2010, ISBN 978-0-19-957727-9

Phyllis Atkinson, *Money Laundering (Chapter 14), Dealing with White Collar Crime*, to be published in 2011 by Contact Publishers (Pty), Ltd, KwaZulu Natal, South Africa

Working Papers Series

Working Paper No 08

The Recovery of Stolen Assets: Seeking to balance fundamental human rights at stake, by Kodjo Attisso, Basel Institute on Governance, 2010.

Working Paper No 08

Le recouvrement des avoirs volés: gérer l'équilibre entre les droits humains fondamentaux en jeu, by Kodjo Attisso, Basel Institute on Governance, 2010.

Working Paper No 09

The Situation of Financial Intelligence Units in Central and Eastern Europe and the Former Soviet Union, by Kilian Strauss, Basel Institute on Governance, 2010.

Media and Public Relations

Media coverage and publications mentioning the Institute and/or its staff



On FATF report on Germany (published on 17 February 2010), criticizing AML legislation gaps:

„Mangelnde Geldwäsche Bekämpfung“, news programme featuring interview with ZDF Heute Journal, 17 February 2010.

„OECD erteilt Deutschland miese Noten“, Der Bund, 19 February 2010.

„Deutschland: Paradies für Geldwäscher“, radioeins Berlin, 19 February 2010.

„Miese Noten für Deutschland“, Tages-Anzeiger, 19 February 2010.

„Deutschland ist ein Paradies für Geldwäscher“, 20 Minuten online, 19 February 2010.

„OECD Bericht zur Geldwäsche: Miese Noten für Deutschland“, Spiegel online, 20 February 2010.

„Geldwaschanlage Deutschland“, Sonntagszeitung, 21 February 2010.

„OECD wirft Deutschland Mängel im Kampf gegen Geldwäsche vor“, Handelsblatt, 24 February 2010.

„Blanchiment d’argent“, Télévision Suisse Romande TSR, 8 February 2010.

On the German-Swiss tax conflict and Swiss tax regulations:

„Wir haben so viel Mist gebaut“, on the German-Swiss tax debate, Spiegel Online, 4 February 2010.

„Ist der Datendieb ein Informatiker?“, article on data theft, Der Sonntag, 7 February 2010.

„Neue Wolken über dem Finanzplatz“, article on Swiss tax legislation and the proposed FATF rules on tax evasion, Sonntagszeitung, 28 February 2010.

„Kommt die Schweiz wieder unter Druck?“ article on Swiss tax legislation and the proposed FATF rules on tax evasion, Der Bund, 2 March 2010.

„Die Schweiz muss Allianzen suchen“, article on Swiss tax legislation and the proposed FATF rules on tax evasion, Tages Anzeiger, 2 March 2010.

„Weissgeld-Strategie – das sagen die Banken“, article on banks potentially refusing “black” money in the future, Sonntagsblick, 4 March 2010.

„Raus aus dem Schützengraben“, external expert’s opinion, Sonntag, 30 May 2010.

Various topics (newspaper, magazine and online articles):

„History, experience and workings of the Basel Institute on Governance“, Cayman Financial Review, First Quarter 2010.

„Le crime organisé lâche le Dollar pour l’Euro“, article on increased preference of Euro over Dollar in criminal business transactions, swissinfo.ch, 10 February 2010.

„Environmental Destruction and Human Rights in the NigerDelta“, Freedom from Fear Magazine, March 2010, available on: www.freedomfromfearmagazine.org

„Geld aus Liechtenstein für Basel Institute“, short news item on Liechtenstein sponsorship of ICAR, Basler Zeitung, 10 March 2010.

„Lichtblick in doppelter Tragödie“/ “Es gibt keine Entschuldigung für Korruption“, double-sided theme page on the Haiti earthquake and its impact on the Institute’s anticorruption projects and personal connections in Haiti, Sonntag, 28 March 2010.

„‘Ja, aber’ zu Gesetz über Potentatengelder“, article on Swiss asset recovery legislation, Basler Zeitung, 16 April 2010.

„Warum sprechen alle von Compliance?“, on the growing international importance of good governance and compliance, Basler Zeitung, 19 April 2010.

Hunting for Liberia’s Missing Millions, New York Times, 30 May 2010.

“Terrorist Use of the Internet and Legal Response“, Freedom from Fear Magazine July 2010.

“Verdacht auf Geldwäscherei: Postfinance droht happige Busse“, article on a current Swiss money laundering case, 23 Juli 2010.

“OECD: Positive results achieved in combating corruption in Azerbaijan“, news flash, Trend News Agency Azerbaijan, 29 July 2010.

“IMF discusses action programme“, news flash, Trend News Agency Azerbaijan, 4 August 2010.

“Giving back stolen cash? Not so easy?“, article on the Duvalier case and AR in general, Wall Street Journal, 21 August 2010.

“Geldwäscherei am Kiosk?“ article Western Union’s plans to offer money transfer facilities at Valora kiosks and related money laundering risks, Blick, 13 October 2010.

“Die Kioskfrau und das Schwarzgeld“, article Western Union’s plans to offer money transfer facilities at Valora kiosks and related money laundering risks, Handelszeitung, 13 October 2010.

“Der Immobiliensektor ist anfällig für Geldwäscherei“, on money laundering in the property sector, Tages-Anzeiger 28 October 2010.

“Schädliche Folgen der Abzocker-Initiative“, full page article on aspects of a proposed Swiss law on the restriction of executive salaries,, Finanz und Wirtschaft, 13 November 2010.

“Paris ermittelt gegen afrikanische Freunde“, article on a French court case involving funds stolen by three African dictators, Tages-Anzeiger, 15 September 2010.

“Zürcher Sündenfall“, article on the recent corruption scandal involving FIFA, Der Spiegel November 2010.

“Immobilier – La nouvelle machine à blancher“, article on money laundering in the property sector, L’Hebdo 25 November 2010.

Newsletters/other:

„Duvalier assets can not (yet) be returned to Haiti“, Basel Institute on Governance Breaking News, 4 February 2010.

„Basel Institute on Governance and UNODC conduct Training Needs Assessment of Indonesian Anti-Corruption Agencies“, UNODC Indonesia Project Office Homepage, 17 February 2010,

„Bakschisch im Visier“, article on corruption risks Handelsblatt Business Briefing: Nachhaltige Investments, 19 September 2010.

Television and radio:

„Postfinance mit Geldwäschereiverdacht konfrontiert“, Schweizer Radio DRS 1, Rendez-vous vom Donnerstag 22. Juli 2010, radio Interview on allegations of money laundering towards PostFinance in Solothurn.

Public Appearances

Staff of the Institute gave speeches and presentations at the following occasions throughout 2010:

By staff from the Public and Private Governance division:

April 2010: Presentation on “Towards a new approach for assessing health systems governance” at the Geneva Health Forum, Geneva, Switzerland.

March 2010: Participation at the Conference on “Specialized anti-corruption agencies: independent to be effective, effective to lose independence”, organized by Transparency International of Latvia, Riga, Latvia.

July 2010: Participation at the SIEL Conference on Good Governance and International Investment Law, Barcelona, Spain.

16-17 September 2010: Presentation on the “Role of business in global governance” at the Peace Research Institute, Frankfurt, Germany.

1 October 2010: Participation at the OECD Working Group side meeting on StAR/OECD Quantification of proceeds of corruption, Paris, France.

13 October 2010: Participation at round table meeting on “Fair trade in the arts”, Basel, Switzerland.

30 October 2010: Presentation on “A new approach for assessing health system governance: An analytical framework”, at the University of Bern, Bern, Switzerland.

2 November 2010: Presentation on “Good governance and international investment law: challenges and opportunities” at a brown bag lunch, World Trade Institute, New York, USA.

12 November 2010: Participation in two workshops on 1) “Improving Integrity in the health sector – stories from the field” and 2) on “Finding the real cost of corruption: How to use the concept of social damage for the anti-corruption struggle,” at the International Anti-Corruption Conference, Bangkok, Thailand. Presentation during the workshop on “A New Approach to Assess Health Systems Governance: An Analytical Framework and Examples from Tajikistan. ”

3 December 2010: Participation at the Novartis Foundation Symposium on “10 Years of Millennium Development Goals – Progress to date and the road ahead,” Basel, Switzerland.

By staff from the ICAR and AML/CFT divisions:

14 Januar 2010: Presentation on “Money laundering and terrorist financing” at SECO, Bern Switzerland.

25-26 February 2010: Presentation on “Advancing International understanding on cooperation in combating corruption,” at the Annual Forum on Combating Corruption in the EU 2010, Incorporating Anti-Corruption Policy in the EU Accession Process, Trier, Germany.

25-26 February 2010: Attendance at the Annual Forum on Combating Corruption in the European Union, Trier, Germany.

24 March 2010: Presentation on “Providing technical assistance in developing countries” to SECO, Bern, Switzerland.

12-16 April 2010: Presentation on “Asset recovery: Developing country experience” and on “The Asset Recovery Experts Network.” at the 12th United Nations Congress on Crime Prevention and Criminal Justice, Salvador, Brazil.

29-30 April 2010: Participation at the Lausanne V Seminar on “Barriers to asset recovery: Study good practices in overcoming barriers to asset recovery in financial centres,” Lausanne, Switzerland.

11 -12 May 2010: A series of presentations on a number of asset recovery related topics at the Master of Laws in International Crime and Justice 2009-2010 programme, Turin, Italy.

17 May 2010: Presentation on “Recovery of stolen assets and anti-money laundering measures” at the 19th Session of the Commission on Crime Prevention and Criminal Justice, Vienna, Austria.

28 May 2010: Participation at a workshop on “Politically Exposed Persons (PEP)” at the Wolfsberg Group, Wolfsberg, Switzerland.

29 May 2010: Presentation on “Corruption Risk” at the University of Lucerne, Zug, Switzerland.

3 June 2010: Presentation on “Asset recovery” at the Swiss Confederation, Bern, Switzerland.

4 June 2010: Presentation on “Harnessing informal assistance as a means of securing international cooperation in criminal matters” at the Hunters Network meeting, Berlin, Germany.

9 June 2010: Participation in a workshop at the Global Forum, Paris, France.

26 – 28 July 2010: Presentation on ICAR at the ARINSA Annual General Meeting 2010, Pretoria, South Africa.

2-3 August 2010: Participation at the Non-State Actors in Asset Recovery Experts Meeting in Laxenburg, Austria.

8 September 2010: Participation in the Plenary Workshop IX on “Proceeds of crime- the civil law perspective” at the 28th International Symposium on Economic Crime, University of Cambridge, Cambridge, United Kingdom.

9 September 2010: Presentation on “Asset recovery” at UNAFEI, Tokyo, Japan.

15 September 2010: Participation at the 8th Conference on Combating Money Laundering, Potsdam, Germany.

28 September 2010: Presentation on “Money laundering” at the Financial Integrity Task Force, Bergen, Norway.

12 October 2010: Presentation on the “Asset Recovery Intelligence System (ARIS)” at the Egmont Plenary, Chisinau, Moldova.

13 October 2010: Participation at round table meeting on “Fair trade in the arts”, Basel, Switzerland.

14 October 2010: Presentation on “Predicate offences” at the Financial Intelligence Unit (FIU) of the Principality of Liechtenstein, Vaduz, Liechtenstein.

15 October 2010: Participation in a number of workshops on asset recovery at the 14th International Anti-Corruption Conference in Bangkok, Thailand.

20-22 October 2010: Participation and presentation on “Effective investigation of assets, practical application of mutual legal assistance and asset recovery” at the experts’ seminar on “Effective means of investigation and prosecution of corruption”, organized by the OECD Anti-corruption Network for Eastern Europe and Central Asia in cooperation with the National Anti-Corruption Directorate, Bucharest, Romania.

25-26 October 2010: Attendance at the Annual Anti-money Laundering European Conference in London, United Kingdom.

3 November 2010: Presentation on “Asset recovery” at the International Association of Anti-Corruption Authorities (IAACA) in Macao SAR, China.

15 November 2010: Presentation on “Asset recovery” at the Egmont/FATF meeting in Cape Town, South Africa.

16 November 2010: Presentation on “Corruption as a detriment to doing business in India” at the University of St.Gallen, St. Gallen, Switzerland.

24 November 2010: Presentation on “Corruption” at the University of North Western Switzerland, Olten, Switzerland.

2 December 2010: Presentation on “New Swiss law on asset recovery” at Transparency International, Zürich, Switzerland.

7-8 December 2010: Attendance at the ERA seminar on “Recent Jurisprudence of the ECtHR in the area of criminal law,” Strasbourg, France.

Fundraising

The ICAR continued to receive core funding support from the Swiss Agency for Development and Cooperation (SDC), the Principality of Liechtenstein and the UK Department for International Development (DFID). The ICAR acquired additional, project-specific funding from a number of other bilateral and multilateral partners such as the United Nations Office for Drugs and Crime and the World Bank (the Stolen Asset Recovery Initiative, StAR), the US Department of Justice, the Deutsche Gesellschaft für Technische Zusammenarbeit (GTZ), the Council of Europe, the Organisation for Security and Cooperation in Europe (OSCE), the Organisation for Economic Cooperation and Development (OECD) and the International Monetary Fund (IMF). With the aim to seek additional core funding support for the ICAR and the Institute as a whole, the Institute, throughout 2010, made an effort to seek and establish new contacts with potential donors for the future.

In mid-2010 the ICAR developed a new business plan for the period 2011-2013 outlining its objectives for the future as well as a strategy for achieving these objectives. Based on the new business plan the Institute will continue to conduct fundraising activities in 2011 and will again approach governments, foundations, large private enterprises, as well as development agencies and multilateral banks (including their local or regional offices). In December 2010, the Swiss Agency for Development and Cooperation (SDC) decided to fund ICAR for another 3 years.

In early 2010, the Institute hosted its annual meeting for core donors to provide an update on ICAR activities and plans, to ensure awareness of the funding challenges and to establish mechanisms and strategies for effective resource mobilization for asset recovery in the future.

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